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Substitute for form 1449/PTO  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  <i>(Use as many sheets as necessary)</i>		<i>Complete If Known</i>		
		Application Number	US 09/095323	
		Filing Date	6/10/1998	
		First Named Inventor	MICHAEL D. LAUFER, Menlo Park, Ca (us)	
		Art Unit	3735	
		Examiner Name	Shay, David M.	
Sheet	1	of	3	Attorney Docket No: ASTX-N-A001.00-US

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. <sup>1</sup>	Document Number Number-Kind Code <sup>2</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	001	US-7027869	04-11-2006	DANEK; Christopher J. et al.	

FOREIGN PATENT DOCUMENTS						
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	002	AN S.S et al. Airway smooth muscle dynamics: a common pathway of airway obstruction in asthma, European Respiratory Journal, 2007, 29 (5), 834-860.	
	003	BEL et al. "Hot stuff": bronchial thermoplasty for asthma, American Journal of Respiratory and Critical Care Medicine, 2006, Vol 173, 941-943.	
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	005	BROWN, R.H., WIZEMAN, et al. In vivo evaluation of the effectiveness of bronchial thermoplasty with computed tomography, Journal of Applied Physiology, 2005, 98, 1603-1606.	
	006	CHHAJED et al. Will there be a role for bronchoscopic radiofrequency ablation?, J Bronchol, 7/1/2005, Volume 12, Number 3, 184-186.	
	007	CHRISTOPHER J. DANEK et al. Reduction in airway hyperresponsiveness to methacholine by the application of RF energy in dogs, J Appl Physiol, 11/1/2004, Vol 97, 1946-1953.	
	008	COX, G., J. D. MILLER, et al. Bronchial Thermoplasty: One-Year Update, American Thoracic Society Annual Meeting, 2004.	
	009	COX, G., J. D. MILLER, et al. Development of a Novel Bronchoscopic Therapy for Asthma, Journal of Allergy and Clinical Immunology, 2003.	
	010	COX, G., J. MILLER, et al. Bronchial Thermoplasty for Asthma, American Journal of Respiratory and Critical Care Medicine, 2006, Vol 173, 965-969.	
	011	COX, G., J. MILLER, et al. Bronchial Thermoplasty: Long-Term Follow-Up and Patient Satisfaction, 2004, 126(4).	
	012	COX, G., J. MILLER, et al. Early Clinical Experience with Bronchial Thermoplasty for the Treatment of Asthma, American Thoracic Society Annual Meeting, 2002.	
	013	COX, G., J. MILLER, et al. Radiofrequency ablation of airway smooth muscle for sustained treatment of asthma: preliminary investigations, European Respiratory Journal, 2004, 24, 659-663.	
	014	COX, G., J. MILLER, et al. Impact of bronchial thermoplasty on asthma status: interim results from the AIR trial. European Respiratory Society Annual Meeting, Munich, Germany, 2006.	
	015	DANEK et al. Bronchial thermoplasty reduces canine airway responsiveness to local methacholine challenge, American Thoracic Society Annual Meeting, May, 2002.	
	016	DANEK, C. J., G. COX, et al. Asthma Intervention Research (AIR) Trial Evaluating Bronchial Thermoplasty™: Early Results, American Thoracic Society Annual Meeting, 2002.	
	017	ERLE C.H. LIM et al. Botulinum toxin: a novel therapeutic option for bronchial asthma?, Medical Hypotheses, 2006, 66, 915-919.	
	018	GERARD COX et al. Clinical Experience With Bronchial Thermoplasty for the Treatment of Asthma, Oct. 28, 2003, 1068.	

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	019	GERARD COX, M.B., et al. Asthma Control during the Year after Bronchial Thermoplasty, The New England journal of medicine, March 29, 2007, 356(13), 1327-1337.	
	020	JULIAN SOLWAY, M.D., et al. Airway Smooth Muscle as a Target for Asthma Therapy, The New England journal of medicine, March 29, 2007, 356(13), 1367-1369.	
	021	LAVIOLETTE et al. Asthma Intervention Research (AIR) Trial: Early Safety Assessment of Bronchial Thermoplasty, May 2004.	
	022	LEFF et al. Bronchial Thermoplasty Alters Airway Smooth Muscle and Reduces Responsiveness in Dogs: A Possible Procedure for the Treatment of Asthma, American Thoracic Society Annual Meeting, May, 2002.	
	023	LOMBARD et al. Histologic Effects of Bronchial Thermoplasty of Canine and Human Airways, American Thoracic Society Annual Meeting, May, 2002.	
	024	MARTIN L. MAYSE et al. Clinical Pearls for Bronchial Thermoplasty, J Bronchol, Apr-2007, 14 (2), 115-123.	
	025	MILLER JD et al. A Prospective Feasibility Study of Bronchial Thermoplasty in the Human Airway, 2005, 127/6, 1999-2006.	
	026	MILLER JD et al. Bronchial Thermoplasty Is Well Tolerated By Non-Asthmatic Patients Requiring Lobectomy, American Thoracic Society Annual Meeting, 2002.	
	027	PETER J. STERK et al. Heterogeneity of airway hyperresponsiveness: time for unconventional, but traditional, studies, J Appl Physiol, 2004, 96, 2017-2018.	
	028	RUBIN et al. Bronchial thermoplasty improves asthma status of moderate to severe persistent asthmatics over and above current standard-of-care, American College of Chest Physicians, Oct. 25, 2006.	
	029	SANDRA R WILSON et al. Global assessment after bronchial thermoplasty: the patient's perspective, Journal of Outcomes Research, 2006, 10, 37-46.	
	030	TOMA et al. Brave new world for interventional bronchoscopy, Thorax, 2005, 60, 180-181.	
	031	TROW T; Clinical Year in Review I Diagnostic Imaging, Asthma, Lung Transplantation, and Interventional Pulmonology, Proceedings of the American Thoracic Society, 2006, Vol 3, 553-556.	
	032	WIZEMAN et al. A Computer Model of Thermal Treatment of Airways by Radiofrequency (RF) Energy Delivery, May 2004.	

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